



**ALLOTMENT AND EXPENDITURE
POLICIES AND PROCEDURES**

**INSTRUCTIONS AND BUDGETNET
USER MANUAL**

FISCAL YEAR 2011

**GOVERNOR'S
OFFICE OF PLANNING AND BUDGET**

August 24, 2010

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Allotment Requests

GENERAL INFORMATION

The Official Code of Georgia, Title 45-12-82 through Title 45-12-85, establishes the state allotment process.

The allotment must be in compliance with the programs and state fund sources established in HB 948, the Budget Appropriations Act for FY 2011.

The first quarter allotment may be requested as soon as the AOB is approved. However, funds will not be available from the Office of the Treasury and Fiscal Services (OTFS) until July 1.

Allotments for FY 2011 will be released weekly, as opposed to quarterly. Beginning with the August 1 allotment, OPB instructs each state agency to take appropriate action to control expenditures and withhold 4% from State General Funds and Tobacco Settlement Funds allotment requests. OPB will communicate items to be included and/or exempted from the 4% withhold.

Upon final approval of the allotment warrant by the Comptroller's office the PeopleSoft allotment ledger will be updated overnight for agencies supported by the SAO PeopleSoft application.

ALLOTMENT OF STATE FUNDS

Allotments must be requested by program and state fund source: State General Funds, Lottery for Education, Tobacco Settlement Funds, Motor Fuel Trust Funds, Brain and Spinal Injury Trust Funds, Nursing Home Provider Fees, Care Management Organization Fees, Hospital Provider Payments, and Governor's Emergency Fund. Prior year state funds are not allotted.

Allotments are processed for parent agencies. Allotments for attached agencies are processed by the parent agency as attached agencies are programs of a parent agency. **Parent and attached agencies must be on the same approved amendment number.**

OPB POLICY FOR ALLOTMENTS

1. The approved AOB in BudgetNet constitutes the proposed work program for each agency.
2. First allotments may be approved prior to the start of the fiscal year; however, the funds are not released from Office of Treasury and Fiscal Services (OTFS) until on or after July 1.
3. Allotments are processed for state funds only at the appropriated program level and against the AOB or state fund amendments that have been approved.
4. Allotments cannot exceed the appropriated amount for the specified program and fund source. If a fiscal affairs transfer, executive order, statutory amendment (used for Nursing Home Provider Fees, Care Management Organization Fees, or Hospital Provider Payments), or the amended appropriations act reduces the amount of state funds in a program, a de-allotment may be necessary.
5. Agencies should consider any amended fiscal year budget reductions recommended by the Governor when preparing their allotments in the second half of the fiscal year.
6. OPB will set the guidelines for allotment frequency and percent of budget allotted.
7. Allotments for the legislative and judicial branches submitted in accordance with the Appropriations Act as amended, will be approved as submitted.

Processing Allotments In BudgetNet

The agency allotment request is submitted electronically using the allotment module of the Office of Planning and Budget's BudgetNet system. BudgetNet is a web-based application designed to provide a centralized database of budget information for all state agencies. BudgetNet provides the necessary tools to process and approve agency allotment requests as well as authorize the resultant warrant.

If you have suggestions for improving BudgetNet please let OPB know by submitting a work ticket through our electronic Issues Tracking System (ITS) accessed from the BudgetNet Homepage.

REQUESTING BUDGETNET ACCESS

Each agency should have in place an "Agency Agreement" authorizing access to BudgetNet. Additionally, each agency should have a designated fiscal officer, authorized to submit data in BudgetNet. In most cases, the person authorized to submit allotments is the same person who is authorized to submit the AOB and amendments to the AOB in BudgetNet. A limited number of employees per agency should have the security clearance to submit an allotment request. The user ID is the same for all BudgetNet modules; however, the password for the allotment module is unique.

SYSTEM OVERVIEW

The BudgetNet allotment module will be loaded with the latest *approved* AOB or amendment. BudgetNet uses the latest approved amendment to validate that the current allotment request does not exceed the approved appropriated amount. **Attached agencies must be on the same approved amendment as the parent agency.**

The agency enters the allotment request data. Once submitted, the allotment cannot be

changed unless rejected at some future stage of the approval process. If an allotment needs to be changed after it has been submitted, the OPB analyst can unsubmit the request to return it to the agency for further action; if a warrant has been issued and the request needs to be changed, the allotment is rescinded and the warrant is voided.

The OPB analyst and agency are notified by email that the request has been submitted. The previous allotment must be at the "Final" stage (the warrant signed by the comptroller's office) before the next allotment is requested.

The following steps occur in the allotment process:

- Agency creates an allotment request
- Agency submits the allotment request
- OPB analyst reviews
- OPB Division Director approves
- Warrant administrator issues warrant
- Comptroller countersigns the warrant
- OTFS authorized to transfer funds

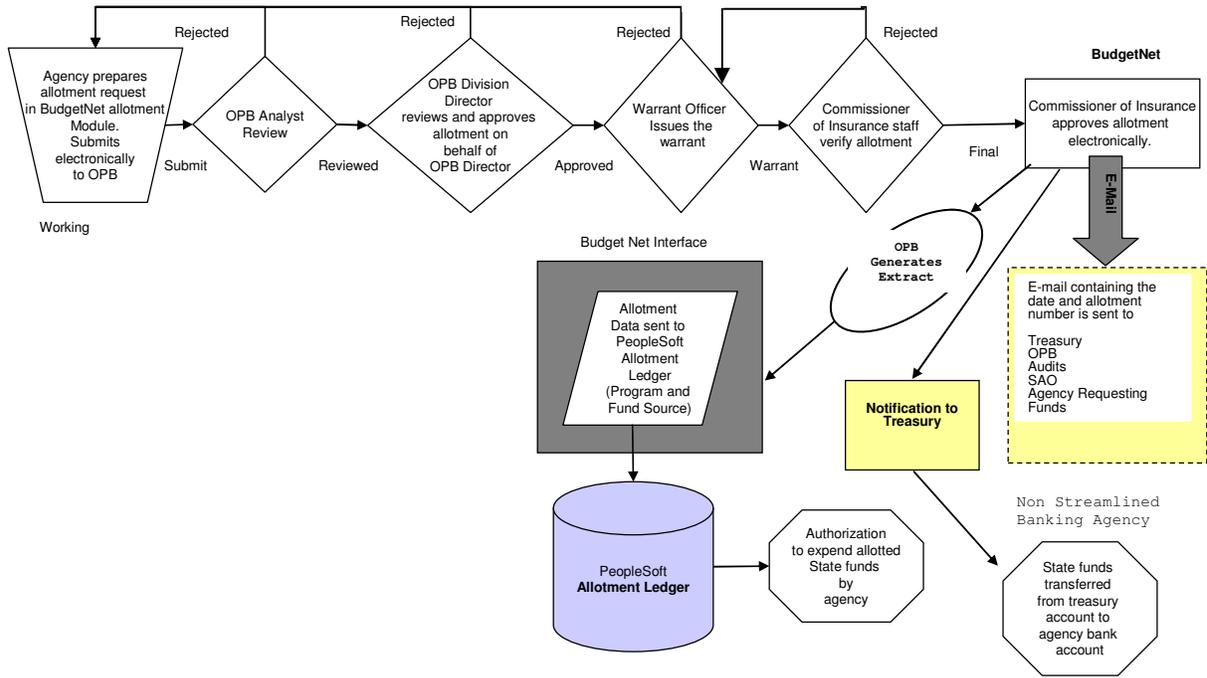
PeopleSoft Agencies: Once the Comptroller has countersigned the warrant, BudgetNet will update the PeopleSoft allotment ledger overnight with the latest approved allotment by agency, program, and state fund source.

Non-PeopleSoft Agencies: Agencies will receive notification of authorization to transfer funds as they have been in the past.

Non-Streamlined Banking Agencies: Agencies will receive cash transfers from OTFS into the agency's bank account.

Streamlined Banking Agencies: Allotments for these agencies represent an authorization to encumber budgeted funds - no cash is transferred to the agency's bank account.

Allotment Process



SCREEN DISPLAY SIZE

BudgetNet was designed for a screen resolution of 1024 by 768 pixels to maximize the display area on the screen. Scroll bars have been added so that users who prefer larger print can set their screen resolution from 800 by 600 pixels to the recommended 1024 by 768.

To adjust the screen resolution, click on the **Windows Start button**, open the **Control Panel**, click on **Display**, select **Settings**, and adjust the screen resolution.

REQUESTING AN ALLOTMENT

Accessing BudgetNet

1. Go to www.opb.state.ga.us/.
2. Mouse over the 'Systems and Other Links' dropdown menu at the top of the screen; select **OPB Applications**.
3. When the OPB Applications menu appears, click on **BudgetNet** to access the BudgetNet Homepage.

Logging in to the BudgetNet Allotment Module

The first screen to appear is the BudgetNet Homepage. This screen provides access to the options menu, reports menu, documents menu, and links to other useful information.

In the **Options** column:

1. Click on **Allotments**, then from the dropdown, select **Allotments FY11**. This will open an additional security screen and the user is asked to enter a unique login name and password for allotments.
2. Enter Allotment User ID. The user ID for allotments is the same as the user ID for the other BudgetNet modules.
3. Enter Allotment Password. The allotment password is unique and different from other BudgetNet modules.

4. The Allotment Main Screen appears.

Allotment Main Screen

The Allotment Main Screen appears after the user logs on to the allotment module. The agency drop down list defaults to the agency name(s) based on the security of the user logged in. The fiscal year drop-down list defaults to the current fiscal year.

To enter a new allotment request, click on the "NEW" button. If the "New" button is not visible, then there is an allotment in process that has not reached the 'final' stage. Detailed instructions are provided in the next section.

Screen Tabs

- **Allotment Setup:** Agencies must check the current AOB data and enter the basic information (allotment quarter and percentage to allot).
- **Agency Entry:** Agencies must finalize the requested amounts, enter any comments about the request, and submit the request. All users can view details of the allotment on this screen, but the data entry action fields are disabled once submitted.
- **OPB Review:** OPB analysts review the agency allotment request in relation to the current approved budget and any previous allotments. The analyst can accept the request or unsubmit and return it to the agency.
- **OPB Approval:** The OPB Division Director reviews and approves an agency allotment request after the analyst has reviewed it. The OPB Division Director can approve the request or unsubmit and return it to the agency.

- **OPB Warrant Approval:** The OPB warrant administrator reviews the request and can either unsubmit the request and return it to the agency or approve the request and enter the warrant number on the request.
- **Comptroller Warrant Approval:** The Comptroller gives final authorization for the warrant and notifies the Treasury to release funds. The comptroller can either authorize the warrant or reject the request and return it back to the OPB warrant administrator.
- **Reports:** The user accesses reports for the allotment process.

Allotment Status

A status indicator provides users more information about the status of the allotment request. It is displayed in the allotment list in the left frame of the screen. The status types are as follows:

- **Working:** The allotment has been created by the agency but not yet submitted to OPB.
- **Submitted:** The allotment has been submitted to OPB but not yet approved.
- **Reviewed:** The allotment request has been reviewed by the OPB analyst but has not been approved.
- **Approved:** The allotment request has been approved by the OPB Division Director.
- **Pre - Warranted:** The OPB warrant administrator has approved the allotment but has not yet issued an electronic warrant.
- **Warranted:** The OPB warrant administrator has issued an electronic warrant with the

Governor's electronic signature.

- **Pre - Final:** The Comptroller's Office has approved the warrant but has not yet electronically signed it.
- **Final:** The warrant has been electronically countersigned by the Comptroller's Office.
- **Rescinded:** The allotment has been rescinded and the warrant voided. A rescinded allotment is not included in the totals of the programs or agency but is still available for viewing and reports.

Date

The date in the Allotment status box is the date the latest status change occurred.

Warrant Number

The OPB warrant administrator assigns the warrant number when electronically signing for the Governor. If a warrant number is showing, this means that an electronic warrant has been issued.

Help/Reporting Problems

Problems with log-in, data entry, or reports should be reported to OPB using the electronic **Issues Tracking System (ITS)**.

ITS can be accessed from the link in the left side of the Allotment Main Menu in the toolbox menu or from the Options Menu on the BudgetNet Homepage. When you click on "**Issue Tracking,**" a screen pops up allowing you to generate a ticket where you provide details about the problem you encountered. The OPB development group will manage all issues reported through ITS.

Allotment Screens

AGENCY – ALLOTMENT SETUP SCREEN

Use this screen to check the current AOB data and enter the basic information about the allotment. **Reminder: All attached agencies must be on the same approved amendment number in BudgetNet.**

All data entry fields are shaded in yellow.

Allotment Setup:

1) Click the “NEW” button on the left side of the screen to begin a new request.

After clicking the “New” button, the first section of the screen shows the agency name, the fiscal year, and the current approved budget.

The second section has two data entry fields shaded in yellow and a check box.

The third section of the screen is labeled “**Current Status**” and shows for the agency the current approved budget in BudgetNet and the year-to-date allotments by fund source. The system also displays the percent and amount of funds available for allotment. The fourth section of the screen shows this information at the program level.

2) Enter the quarter in which the requested allotment falls.

In the yellow field labeled “Enter Quarter” enter 1 for requests in the first quarter; 2 for second quarter requests; 3 for the third; and 4 for the fourth.) This is a required data entry field.

Entering the quarter will generate an **Allotment**

Number for the request. The allotment number is the quarter and number of allotments within the quarter. For example, if this is the second allotment in the first quarter the number will be 1-2, the agency enters the “1” in the Allotment setup screen because the request is being submitted for the first quarter of the year. The system generates the “2” because this is the second request in the first quarter.

3) Enter the percent of funds requested in the allotment.

Enter the whole percentage of the currently approved annual budget requested for the allotment to have the system automatically calculate the allotment amounts in the program/fund source section. The system will round to the nearest whole dollar and check to assure that the total dollars equals the percentage of the approved AOB.

This is not a required data entry field, but a tool to quickly request that a straight percentage of the budget be allotted. **If you do not enter a % you will need to manually enter all amounts on the Agency Entry screen.**

If the agency is allotting all remaining funds in the final allotment for the fiscal year, check the “**Remaining**” box. The system will automatically populate the program/fund source section with the remaining amount that has been appropriated through the AOB and amendments less all allotments entered to date. This feature helps avoid any rounding errors.

4) Enter any explanations for the allotment in the “Allotment Comments” text box on left side of screen.

5) Click Save.

The agency user has three buttons at the bottom of the screen:

Clear – allows the user to clear the data entered on this screen before saving.

Save – saves the data and takes the user to the Agency Entry Screen. If data is entered incorrectly, the system will notify the user of the error in red at the bottom of the screen below the buttons and the field in error will be shaded in red.

Delete – until you save the new allotment setup data this button is inactive. If the allotment has not been submitted this will delete the allotment in its entirety, both the information entered here and what has been entered in the Agency Entry Screen.

Once an allotment has been submitted these buttons are inactive. Submitted allotments can only be viewed by the agency.

To return to the Allotment Set-Up or Agency Entry screen after working in another tab, click on the desired tab. Then, to populate the work area with a request, click on the allotment number in blue located in the Allotment list on the left side of the screen (e.g. 1-1).

AGENCY ENTRY SCREEN

After clicking “Save” in the Allotment Setup screen, the system will automatically take you to the **Agency Entry** screen. The agency uses this screen to finalize the requested amounts, enter any additional comments about the request, and submit the request. All users can view details of

the allotment on this screen, but the data entry action fields are disabled once submitted.

The screen is populated with the following information:

- Agency Name, Fiscal Year, Amendment # - the latest approved AOB/Amendment data set entered into BudgetNet, Current Allotment Number, Status, and date (Once a warrant number has been issue it also is displayed on this screen). The date field is the date the status changed to what is showing. In the case of “working”, it will be the last time the data was saved in the allotment entry screen.
- **Current Status:** shows the amounts of the agency’s most recently approved budget amendment and year-to-date allotment requests for the fiscal year. It also displays the amount and percent of funds available for allotment.
- **Programs Requesting Allotment** – The Allotment Request section has one row per program open for data entry –“Requested Amount.” If a percentage was entered on the set-up screen, then the system populates each row with an allotment amount by fund source (in the yellow fields). It is calculated for each program and fund source by taking the approved total AOB and amendments and multiplying it by the annual percentage rounding to the nearest whole dollar.

Changing Requested Amounts

The user can change the dollar amounts individually on this screen or can go back to setup and change the percentage so long as the allotment has not been submitted. The amount requested can never be greater than the funds

available. If the amount entered is greater than the un-allotted amount the user will get an alert in red and remain on the field. All data entry fields are highlighted in yellow.

At the bottom of the program list the system shows two rows of amounts by fund source:

Open Balance - \$ – This is the remaining amount of funds available for allotment once this request is approved.

Open - % - This is the percentage calculation of the open balance.

Five buttons are on the Agency Entry Screen.

Recalculate: This recalculates the total amounts and open balances for the current allotment. It does not save data. When Recalculate is clicked, the system performs a check to make sure that the total allotment – year-to-date plus current request – amount does not exceed by program and fund source the total AOB plus amendment amounts. If the amount for any program or fund source is exceeded the user gets a message and is returned to the input screen.

Clear: Allows the user to clear the amounts entered on this screen if it has not been saved. This does not delete the allotment number but it does clear all fund source amounts for this allotment.

Save: Saves the allotment data entered on this screen. When Save is clicked the system performs a check to make sure that the total allotment – year-to-date plus current request – amount does not exceed by program and fund source the total authorized in the AOB plus amendment. If the amount is exceeded the user gets a message, is returned to the input screen, and the data is not saved. The system will notify

the user of the error in red at the bottom of the screen below the buttons and the field in error will be shaded in red.

Delete: if the allotment has not been submitted but has been saved this will delete any record of the request and the user must start over.

Submit: This saves the allotment data entered on this screen and performs a check to make sure that the total allotment – year-to-date plus current request – amount does not exceed by program and fund source the total authorized in the AOB plus amendment. If the amount is exceeded the user gets a message, is returned to the input screen, and the data is not saved.

If the data passes all the validation checks, then a new screen appears with a summary of the allotment request by program along with the agency comment box. The agency should enter any additional comments or explanation. The agency should print report 301 Allotment Confirmation to validate that the submit summary matches the report.

Submit to OPB: Once the agency is satisfied that the system correctly captured the allotment request, the user clicks the “Submit to OPB” button. From this point forward the user can see the request on the screens but can not make any changes.

Return to Allotments: If the user is not ready to submit, this button takes the user back to the Agency Entry screen.

Once an allotment has been submitted these buttons are inactive. Agencies can view submitted allotments on the Agency Entry screen.

Reports

AVAILABLE ALLOTMENT REPORTS

Requesting a report for the allotment process can only be accessed from the allotment module. The allotment reports are obtained from the report queue accessed using the same report system as the other modules in BudgetNet. Below are the available reports and their numbers.

BudgetNet Allotment Report Name	Report Number
Budget Allocation of Funds – Confirmation Report	301
State Allotment Summary	302
Allotment Status Report	303
Comptroller Agency Allotment History	304
Budget Allotment of Funds – Agency Summary	305
YTD Agency Allotment Summary	306
Unallotted Funds Report	346
Electronic Warrants – By Quarter	428
Electronic Warrants – By Date	430
Electronic Warrants – By Warrant	431

ACCESSING ALLOTMENT REPORTS

Request an allotment report through the Reports tab at the top of the Allotment Main Menu screen. The instructions to run report 301, the Allotment Confirmation Report are as follows:

1. Click on the Report tab.
2. Select the fiscal year from the drop down menu. The current fiscal year is the default.
3. Select from the Reports drop-down menu the report you want to run (Budget Allocation of Funds - Confirmation Report (301)).

4. Select the Agency (should default to your agency based on security) and Fiscal Year from the drop-down menus on the left side of the screen.
5. Click on the allotment number you want to run the report for (1-1 would be the first quarter allotment, first request).
6. Select from the Reports format: Choose from PDF, Excel Spreadsheet - Report Format, or Excel Spreadsheet – Raw Format.
7. Email Notification – should default to your email address on file in BudgetNet but can be changed to send the report notification to someone else.
8. Enter desired finish date and desired finish time. (Date defaults to current date: time defaults to 1:05AM)
9. Click Generate Report. If this button is grayed you have not completed all the necessary selections.

Reports are available in three formats – PDF, Excel Spreadsheet - Report Format, or Excel Spreadsheet - Raw Format. The two latter formats allow users to export data to Excel. Users who want to manipulate the data in the reports should select Excel Raw Format.

You will be notified by e-mail when the report is available in the Report Queue. You can access your report by clicking the link in the e-mail notification or going directly to the Report Queue in BudgetNet.

Submitting the Allotment

SUBMITTAL PROCESS

Prior to agency submission, run the necessary reports and views to verify the request and to print a hard copy as needed. The agency should print report “Allotment Confirmation – Report 301” to validate that the Agency Entry screen amounts match the report.

Required Actions:

1. Compare report 301 “Allotment Confirmation” with the Submit screen summary and validate that the report matches the amounts in the screen.
2. Click on the “Submit” button located at the bottom of the Agency Entry Screen.
3. Edit or enter any comments or explanation in the comment box.
4. Click on the “Submit to OPB” button. An email is sent to the OPB analyst notifying them that an allotment has been submitted. No hard copy signature page is required.

To submit the allotment request the user clicks on the Allotment Entry tab at the top of the screen. Next, the user goes to the allotment list on the left side of the screen and clicks on the allotment number of the “working” data set that is to be submitted to OPB. The submit button is located at the bottom of the Agency Entry Screen.

Click on the Submit Button. This saves the allotment data entered on this screen and performs a check to make sure that the total allotment – year-to-date plus current request – amount does not exceed by program and fund source the total authorized in the AOB plus amendments. If the amount requested exceeds the amount available for any program or state

fund source, the user will receive a message, is returned to the input screen, and the data is not saved.

If the data passes all the validation checks, then a new screen appears with a summary of the allotment request by program along with the agency comment box. The agency should enter any additional comments or explanation.

Once the agency is satisfied that the system correctly captured the allotment request, the user clicks the “**Submit to OPB**” button.

An email notification is sent to the agency user and to the OPB analyst assigned the agency. The allotment cannot be changed from this point unless the allotment is rejected and is un-submitted which changes the status to working. The agency can now only view screens and run reports for this allotment request and cannot submit another allotment until this allotment is final. The ‘New’ button will be inactive until this allotment is fully processed and the status is ‘Final’.

There are two ways to check on the status of a request. First the agency can log into the allotment module and look at the status of the allotment in the allotment list located on the left side of the screen. The second option is to run allotment report 303 Allotment Status Report which shows the status of all agency allotment requests.

Any previous allotment requests must be in “Final” status before the next allotment request

can be submitted.

Who can enter and submit an Allotment?

The number of agency users who can enter allotments will be determined by the agency. Agency users will be restricted by user ID and password to the Allotment Setup tab, Agency Entry tab, and Reports tab. The process of submitting to OPB will be limited to one or two agency specified users. To make any changes to user security or to remove a user, the agency fiscal officer should submit an ITS ticket to change user security.

REQUIRED PAPER SUBMISSIONS

In general, no hard copy signature pages are required from the agency. In some special cases a supplementary letter or form may be required to initiate a treasury account transfer (DCH and DHR) to process an allotment of certain funds that appear in the appropriations act as Nursing Home Provider Fees and Hospital Provider Payments. When handled properly, these are now generated by the application.

APPROVAL PROCESS

After your OPB analyst has been alerted via e-mail that your agency's allotment has been submitted, the analyst reviews the submittal. You may be contacted with additional questions. Approval is given by the OPB Division Director and then passed to the OPB warrant administrator to validate the request against the current state funds appropriation and to issue a warrant. The OPB warrant administrator will issue an electronic warrant and also approve the request electronically.

The Comptroller's office will also receive an email notification of the allotment request. The

Comptroller will approve the allotment and countersign the electronic warrant which authorizes the transfer of funds from OTFS. Agencies and OTFS will be notified by email when the comptroller has approved the request. For agencies on PeopleSoft, once the comptroller has authorized the request for transfer of funds and signed the warrant, BudgetNet will update the PeopleSoft allotment ledger overnight with the latest approved allotment amounts by agency, program, and state fund source. Agencies not on PeopleSoft and streamlined banking agencies will receive notification of funds transferred as they have been in the past.

The agency's fiscal officer will receive an email when the allotment has received a warrant number. The Department of Audits, and the House and Senate budget offices will also be notified by e-mail each time an allotment is final.

PEOPLESOFT ALLOTMENT LEDGER

Once an allotment is authorized by the Comptroller, OPB transfers data in an overnight process to the PeopleSoft allotment ledger with the latest approved allotment amounts.

For a transaction to clear the PeopleSoft accounting system the program ledger is checked for sufficient budget and the allotment ledger is checked for sufficient authorized funds. Initially, all agencies using PeopleSoft 9 supported by SAO will have all fund sources set to "TRACK." Transactions will clear the allotment ledger but a warning will appear where the transaction exceeds the amount of the fund source allotted.

As agencies move to the streamlined banking process, two changes will occur related to allotments:

1. The definition of allotments will change from a transfer of cash from the treasury to the

agency bank account to an “authorization to encumber state funds.” At the time an agency moves to streamlined banking, the percentage of funds “allotted” may be adjusted to meet encumbrance requirements.

2. State funds will be set to “CONTROL” so that agencies cannot over-expend the amount of state funds budgeted or allotted.

Non-PeopleSoft (SAO version 9) agencies will also enter allotment requests for approval into BudgetNet; the process will be the same through notification of treasury, but no data will be loaded in the SAO PeopleSoft allotment ledger.

REQUESTING THE NEXT ALLOTMENT

Once the allotment is approved and in “final” status, the agency can submit the next allotment. To initiate an allotment request the agency clicks on the “New” button located in the left side of the screen above the Allotment status box and fills in the fields on the Allotment Set-Up screen. Until all allotments reach the “Final” status, this button is grayed.

E-mail Notifications

Through each stage of the allotment process email notifications are sent to the agency, the OPB analyst, the warrants administrator, the comptroller’s office, and the Office of the Treasury and Fiscal Services as each needs to know of an executed process. Electronic mail can be subject to all types of disruptions – internet down, mail servers down, switches out, etc. – therefore, user should not rely 100% on this mail notification. Periodic running of Allotment Status Report (303) will flag allotments needing action.

FY 2011 Agency Allotment Check List

ALLOTMENT SYSTEM LIVE: JUNE 1

1. AGENCY REQUEST

The AOB must be approved before the first allotment request can be started.

Analyst receives e-mail notification from BudgetNet that the AOB is approved.

Log into the allotment module and click "NEW".

Data entry fields are highlighted in yellow.

2. ALLOTMENT SET-UP TAB

Confirm that the "AOB/Amendment" row is the most recently approved budget. (Run report 329 – AOB Agency Summary – Amendment Analysis Worksheet – Appropriation Bill Format in the AOB report system for a summary of the budget by program and fund source.)

Enter the quarter of the allotment (enter 1, 2, 3 or 4).

Enter the percent of funds.

Enter a comment or explanation of the allotment request in text box in left side of screen.

Attached agencies are on the same approved amendment number.

Prior Year State Funds are not allotted.

3. AGENCY ENTRY TAB

Adjust calculated amounts by program and fund source. Data entry fields are highlighted in yellow.

Save.

Run report 301 Allotment Confirmation and verify that the report displays the intended request correctly.

Submit.

4. SUBMIT PROCESS

Edit or enter any comments or explanation of the request in the comment box. If the agency is requesting more than the standard allotment percentage in a program, then an explanation is required.

Confirm that amounts in the submission summary screen are accurate. Click on the "Submit to OPB" button.

Request the report in PDF format and retain a copy for the agency's files (electronic or hard copy).

Agency will get an email confirmation when the request is approved.

Agency can monitor the status of the request at anytime by logging into the allotment module.

Quarterly Expenditure Reports

GENERAL INFORMATION

OPB is required to monitor agency's expenditures against approved budgets.

OPB will use either the Current Monthly or Current Quarterly Budget Comparison Report (by program) and the Program Budget Comparison Summary Report generated by the PeopleSoft system to monitor budgets.

For those agencies whose financials are not maintained in PeopleSoft, the agency should submit comparable data showing quarterly expenses as compared to budget and the

amount of revenues recorded and collected as compared to budget and expenses. These reports are due to OPB with the allotment request.

In order to ensure that agencies continually update their federal fund receipts, OPB will analyze expenditures and revenues by fund source by program. If the expenditures from federal funds appear to be significantly out of line with the budget, the agency may be required to submit an amendment to update the approved budget.