Georgia Occupational Regulation Review Council

House Bill 268, LC 36 4640S Occupational Therapy Licensure Compact Act

A Review of the Proposed Legislation

MARCH 2021

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Executive Summary

As provided in O.C.G.A. §43-1A, the Georgia Occupational Regulation Review Council (Council) reviews all bills proposing licensure of a profession or business referred to by the chairperson of the legislative committee of reference. Accordingly, the Council, at the request of the chairperson of the House Regulated Industries Committee, has reviewed House Bill 268, which proposes entering Georgia into the Occupational Therapy Licensure Compact Act and revising the licensing provisions for occupational Therapists.

During the course of this review, Council staff obtained information from the applicant group, Georgia Occupational Therapy Association (GOTA), the National Board for Certification in Occupational Therapy, Inc. (NBCOT), the Occupational Therapy Licensure Compact, and the Secretary of State Office while also conducting internal research.

O.C.G.A. §43-1A-6 requires the Council to consider certain criteria when determining the need for the regulation of a business or profession. For this review, the Council used these criteria to guide the development of findings related to the licensure of occupational therapists. The Council, with assistance from staff, developed the following findings during the course of this review:

- ❖ By entering the Occupational Therapy Licensure Compact as one of the first ten memberstates, it would allow Georgia to participate in rulemaking and setting up the fee structure. This is beneficial to Georgians as it would ensure that any non-Georgian practicing across state lines has the same educational background as those licensed by Georgia as their home state.
- ❖ There is a recognizable potential for harm to Georgians by not entering into the Occupational Therapy Licensure Compact. With the limited number of occupational therapists currently servicing rural Georgia, Occupational Therapy Licensure Compact could increase the services provided in these underserved communities through telemedicine services.
- ❖ Increasing access for Occupational Therapist to work within the state would allow Military Spouses to easily begin practicing, as soon as they cross state lines.

Based on these findings, the Council recommends that House Bill 268 pass as written.

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Introduction

House Bill (HB) 268 enters Georgia into the Occupational Therapy Licensure Compact. As a member state within this compact, occupational therapists practicing in Georgia would have increased mobility to practice in other states. The bill would not change the standards for licensure in Georgia. This report focuses on providing information concerning the nature of the legislation and presents an assessment of the need for such in the state of Georgia.

In conducting this review, the Georgia Occupational Regulation Review Council, referred to as Council for the remainder of this report, solicited input from any interested party that wished to submit information or participate in the process. The applicant group, the Georgia Occupational Therapy Association (GOTA) submitted a questionnaire providing background information for occupational therapists and the Occupational Therapy Licensure Compact.

In addition, the Council reached out to a number of other potentially interested groups, including:

- Georgia Occupational Therapy Association (GOTA)
- ❖ The National Board for Certification in Occupational Therapy, Inc. (NBCOT)

Council staff also performed additional analysis comparing occupational therapist licensure laws in other states to the proposals in HB 268.

The Council provided representatives from interested parties with the opportunity to present information during Council meetings, either by verbal presentation and/or through written material. The Council posted meeting dates, times, and locations to the Office of Planning and Budget website (https://opb.georgia.gov/georgia-occupational-regulation-review-council).

Description of Proposed Legislation

HB 268 amends Chapter 28 of Title 43 of the Official Code of Georgia Annotated, relating to occupational therapists, so as to revise licensing provisions; to enter into an interstate compact known as the "Occupational Therapy Licensure Compact". The bill provides the following:

- Allows for occupational therapists to practice in another state more easily if they move and increases access via a telehealth service without changes of standards for licensure in Georgia; and
- to authorize the State Board of Occupational Therapy to administer the compact in this state

A summary of the bill can be found in Appendix A. A complete copy of the bill is located in Appendix B.

Current Practices

Currently all occupational therapists are licensed under O.C.G.A. § 43-1A-6 and are regulated by the state of Georgia. To qualify for a license, applicants are required to have completed the academic and clinical requirements, completed professional experience, demonstrated good moral character, and passed an examination.

The Issue and Potential for Harm

Telemedicine

Expansion of occupational therapists' practices across state would allow for an increase in telemedicine practices. Increased telemedicine practices across state lines could greatly benefit rural Georgia where access to these services already sparce. Telemedicine also allows for ease of continuity of care. While telemedicine across state lines could already be happening, the occupational therapists from other states may not be licensed or educated up to Georgia's standards for the professions. Under the Compact, Military spouses would be able to begin practicing as soon as they cross state lines.

Fiscal Impact

A Fiscal Note was not requested on HB 268, LC 36 4640S. Under the bill, the State Board of Examiners may issue occupational therapists with a license with a privilege to practice traditional or telehealth services in other member states.

The Georgia Secretary of State's office estimates there will be a minimum cost of \$25,000 type for initially setting up the license type. Additionally, other costs would be determined after the occurrence of the 10 member states joining and establishing the rules

Member States

For a state to be a member in the Occupational Therapy Licensure Compact, states must:

- License Occupational Therapists and Occupational Therapy Assistants;
- Conduct criminal background checks of applicants for an initial compact privilege;
- Investigate if licensure applicants hold, or have ever held, an encumbered licensure in any state;
- Participate in the compact commission's licensure database;
- ❖ Have a mechanism in place for receiving and investigating complaints against licensees;
- Notify the commission of any adverse action against or investigation of a licensee, and;
- Comply with the rules of the compact commission.

Occupational Therapists

For licensees under a member state to exercise the privileges of the Occupational Therapy Licensure Compact, they must:

- Hold a license in their home state;
- Have no encumbrance on any state license;
- ❖ Be eligible for a compact privilege in any member state;
- Have no adverse actions on any state license or compact privilege in the previous two years;
- Notify the compact commission of their intent to seek the compact privilege in a remote state;
- Pay applicable fees, and;
- Report to the commission any adverse action taken by a non-member state within 30 days after the action is taken.

Other states who have entered the Compact

As of the writing of this report, eighteen states are currently considering entering the Compact.

Findings

Pursuant to O.C.G.A. § 43-1A-6, the Georgia Occupational Regulation Review Council must review bills under their consideration according to the following criteria:

- Whether the unregulated practice of the occupation may harm or endanger the health, safety, and welfare of citizens of this state and whether the potential for harm is recognizable and not remote:
- Whether the practice of the occupation requires specialized skill or training and whether the public needs and will benefit by assurances of initial and continuing occupational ability;
- Whether the citizens of this state are or may be effectively protected by other means;
- Whether the overall cost effectiveness and economic impact would be positive for citizens of this state; and
- Whether there are means other than state regulation to protect the interests of the state.

Based on this set of criteria, the Council has reviewed HB 268, LC 36 4640S, which would allow Georgia to join an interstate compact known as the "Occupational Therapy Licensure Compact". In doing so, the Council developed the following findings:

The profession of Occupational Therapy requires specialized skill and training.

By entering the Occupational Therapy Licensure Compact as one of the first ten member-states, it would allow Georgia to participate in rulemaking and setting up the fee structure. This is beneficial to Georgians as it would ensure that any non-Georgian practicing across state lines has the same educational background as those licensed by Georgia as their home state.

There is a recognizable potential for harm to Georgians by not entering into the Occupational Therapy Licensure Compact.

With the introduction of telemedicine, the Council notes that there are two issues to arise where harm could come to Georgians

- Currently there is a shortage of Occupational Therapists in the state, particularly in rural areas. Expanding the potential pool of licensed providers would allow access for previously underserved part of the state.
- 2) It would help protect Georgians from bad actors offering telemedicine services outside the bounds of licensure.

There is an anticipated positive economic impact to Georgia by entering into the Occupational Therapy Licensure Compact through increasing the Occupational Therapists workforce.

Increasing access for Occupational Therapist to work within the state would allow Military Spouses to easily begin practicing, as soon as they cross state lines.

Recommendation

Based on the above findings, the Council recommends that House Bill 268 pass as written.

Appendix A: Summary of Proposed Legislation

Summary of HB 268

- This bill would amend Chapter 28 of Title 43 to revise the licensing provisions for occupational therapists.
- The bill would include Georgia into Occupational Therapy Licensure Compact. As a member state within this compact, occupational therapists practicing in Georgia would have increased mobility to practice in other states.
- All occupational therapists must meet the education criteria and be licensed by the State to practice.
- The bill would not change the standards for licensure in Georgia, rather, it would allow for
 occupational therapists to practice in another state more easily if they move. It would also allow
 for increased access via a telehealth service.
- For a state to participate in the Occupational Therapy Licensure Compact, they must:
 - o License Occupational Therapists and Occupational Therapy Assistants.
 - o Conduct criminal background checks of applicants for an initial compact privilege.
 - o Investigate if licensure applicants hold, or have ever held, an encumbered licensure in any state.
 - Participate in the compact commission's licensure database.
 - Have a mechanism in place for receiving and investigating complaints against licensees.
 - Notify the commission of any adverse action against or investigation of a licensee.
 - Comply with the rules of the compact commission.
- To exercise the compact privilege, a licensee must:
 - Hold a license in their home state.
 - Have no encumbrance on any state license.
 - o Be eligible for a compact privilege in any member state.
 - Have no adverse actions on any state license or compact privilege in the previous two years.
 - Notify the compact commission of their intent to seek the compact privilege in a remote state.
 - Pay applicable fees.
 - Report to the commission any adverse action taken by a non-member state within 30 days after the action is taken
- Should adverse or disciplinary actions be taken against a licensee, member states must report it in the compact data system.
- All member states must share licensee information through a central data system.
 - A compact state shall submit a uniform dataset to the data system on all occupational therapists and occupational therapy assistants to whom this compact is applicable as required by the rules of the commission.
 - o Investigative information pertaining to a licensee in any member state will only be available to other Member States.

A BILL TO BE ENTITLED

AN ACT

1 To amend Chapter 28 of Title 43 of the Official Code of Georgia Annotated, relating to
2 occupational therapists, so as to revise licensing provisions; to enter into an interstate
3 compact known as the "Occupational Therapy Licensure Compact"; to authorize the State
4 Board of Occupational Therapy to administer the compact in this state; to provide
5 definitions; to provide for conditions; to provide for eligibility; to provide for related matters;
6 to repeal conflicting laws; and for other purposes.

7 BE IT ENACTED BY THE GENERAL ASSEMBLY OF GEORGIA:

8 SECTION 1.

9 Chapter 28 of Title 43 of the Official Code of Georgia Annotated, relating to occupational 10 therapists, is amended by revising paragraph (2) of Code Section 43-28-3, relating to 11 definitions relative to occupational therapists, as follows:

12 "(2) 'License' means a valid and current certificate of registration issued by the division
13 director or holding a valid Occupational Therapy Compact Privilege pursuant to Article 2
14 of this chapter."21 LC 36 4640S

15 SECTION 2.

16 Said chapter is further amended by revising Code Section 43-28-6, relating to the general 17 powers and duties of the board and continuing professional education, by adding two new 18 subsections to read as follows:

- 19 "(h) The board shall administer the Occupational Therapy Licensure Compact contained 20 in Article 2 of this chapter.
- 21 (i) The board is authorized to conduct national background checks by the submission of 22 fingerprints to the Federal Bureau of Investigation through the Georgia Crime Information

- 23 Center; provided, however, that reports from such background checks shall not be shared 24 with entities outside the state."
- 25 SECTION 3.
- 26 Said chapter is further amended by revising subsection (a) of Code Section 43-28-9, relating 27 to qualifications of license applicants and waiver, as follows:
- 28 "(a) An applicant applying for a license as an occupational therapist or as an occupational 29 therapy assistant shall file an application, on forms provided by the board, showing to the 30 satisfaction of the board that such applicant:
- 31 (1) Is of good moral character;
- 32 (2) Has successfully completed the academic requirements of an accredited educational
 33 program in occupational therapy recognized by the board, with concentration in
 34 biological or physical science, psychology, and sociology and with education in selected
 35 manual skills. For an occupational therapist or occupational therapy assistant, such a
 36 program shall be accredited by a recognized accrediting agency acceptable to the board.
 37 Other comparable educational programs such as those approved by the World Federation
 38 of Occupational Therapists may be recognized by the board upon evaluation of detailed
 39 program and course content. An applicant who is foreign trained shall complete an
 40 academic program that is recognized or approved by the World Federation of
 41 Occupational Therapists or such other credentialing entity recognized by the board;
 42 (3) Has successfully completed a period of supervised field work experience approved
 43 by the board; and
- 44 (4) Has passed an examination approved by the board as provided for in Code Section 45 43-28-10; and
- 46 (5) Has satisfactory results from a fingerprint record check report conducted by the 47 Georgia Crime Information Center and the Federal Bureau of Investigation, as

48 determined by the board. Application for the issuance of a license under this Code
49 section shall constitute express consent and authorization for the board to perform a
50 criminal background check. Each applicant who submits an application to the board for
51 licensure agrees to provide the board with any and all information necessary to run a
52 criminal background check, including, but not limited to, classifiable sets of fingerprints.
53 Such applicant shall be responsible for all fees associated with the performance of such
54 background check."

55 SECTION 4.

56 Said chapter is further amended by redesignating provisions of said chapter as Article 1, by 57 replacing "this chapter" with "this article" everywhere such term occurs in the new article, 58 and by adding a new article to read as follows:

59 "ARTICLE 2

60 43-28-20.

61 This article shall be known and may be cited as 'The Occupational Therapy Licensure 62 Compact Act.'

63 43-28-21.

64 The Occupational Therapy Licensure Compact is enacted into law and entered into by the 65 State of Georgia with any and all other states legally joining therein in the form 66 substantially as follows:

67 'OCCUPATIONAL THERAPY LICENSURE COMPACT

68 SECTION 1. PURPOSE

69 The purpose of this Compact is to facilitate interstate practice of Occupational Therapy with
70 the goal of improving public access to Occupational Therapy services. The Practice of
71 Occupational Therapy occurs in the State where the patient/client is located at the time of the
72 patient/client encounter. The Compact preserves the regulatory authority of States to protect

- 73 public health and safety through the current system of State licensure.
- 74 This Compact is designed to achieve the following objectives:
- 75 A. Increase public access to Occupational Therapy services by providing for the mutual 76 recognition of other Member State licenses;
- 77 B. Enhance the States' ability to protect the public's health and safety;
- 78 C. Encourage the cooperation of Member States in regulating multi-State Occupational 79 Therapy Practice;
- 80 D. Support spouses of relocating military members;
- 81 E. Enhance the exchange of licensure, investigative, and disciplinary information between 82 Member States;
- 83 F. Allow a Remote State to hold a provider of services with a Compact Privilege in that 84 State accountable to that State's practice standards; and
- 85 G. Facilitate the use of Telehealth technology in order to increase access to Occupational 86 Therapy services.
- 87 SECTION 2. DEFINITIONS
- 88 As used in this Compact, and except as otherwise provided, the following definitions shall 89 apply:
- 90 A. "Active Duty Military" means full-time duty status in the active uniformed service of 91 the United States, including members of the National Guard and Reserve on active duty 92 orders pursuant to 10 U.S.C. Chapter 1209 and Section 1211.
- 93 B. "Adverse Action" means any administrative, civil, equitable, or criminal action
 94 permitted by a State's laws which is imposed by a Licensing Board or other authority
 95 against an Occupational Therapist or Occupational Therapy Assistant, including actions
 96 against an individual's license or Compact Privilege such as censure, revocation,
 97 suspension, probation, monitoring of the Licensee, or restriction on the Licensee's practice.

- 98 C. "Alternative Program" means a non-disciplinary monitoring process approved by an 99 Occupational Therapy Licensing Board.
- 101 by a Remote State to allow a Licensee from another Member State to practice as an

100 D. "Compact Privilege" means the authorization, which is equivalent to a license, granted

- 102 Occupational Therapist or practice as an Occupational Therapy Assistant in the Remote
- 103 State under its laws and rules. The Practice of Occupational Therapy occurs in the Member
- 104 State where the patient/client is located at the time of the patient/client encounter.
- 105 E. "Continuing Competence/Education" means a requirement, as a condition of license
- 106 renewal, to provide evidence of participation in, and/or completion of, educational and
- 107 professional activities relevant to practice or area of work.
- 108 F. "Current Significant Investigative Information" means Investigative Information that
- 109 a Licensing Board, after an inquiry or investigation that includes notification and an
- 110 opportunity for the Occupational Therapist or Occupational Therapy Assistant to respond,
- 111 if required by State law, has reason to believe is not groundless and, if proved true, would
- 112 indicate more than a minor infraction.
- 113 G. "Data System" means a repository of information about Licensees, including but not
- 114 limited to license status, Investigative Information, Compact Privileges, and Adverse
- 115 Actions.
- 116 H. "Encumbered License" means a license in which an Adverse Action restricts the
- 117 Practice of Occupational Therapy by the Licensee or said Adverse Action has been
- 118 reported to the National Practitioners Data Bank (NPDB).
- 119 I. "Executive Committee" means a group of directors elected or appointed to act on behalf
- 120 of, and within the powers granted to them by, the Commission.
- 121 J. "Home State" means the Member State that is the Licensee's Primary State of Residence.
- 122 K. "Impaired Practitioner" means individuals whose professional practice is adversely

- 123 affected by substance abuse, addiction, or other health-related conditions.
- 124 L. "Investigative Information" means information, records, and/or documents received or
- 125 generated by an Occupational Therapy Licensing Board pursuant to an investigation.
- 126 M. "Jurisprudence Requirement" means the assessment of an individual's knowledge of
- 127 the laws and rules governing the Practice of Occupational Therapy in a State.
- 128 N. "Licensee" means an individual who currently holds an authorization from the State to
- 129 practice as an Occupational Therapist or as an Occupational Therapy Assistant.
- 130 O. "Member State" means a State that has enacted the Compact.
- 131 P. "Occupational Therapist" means an individual who is licensed by a State to practice
- 132 Occupational Therapy.
- 133 Q. "Occupational Therapy Assistant" means an individual who is licensed by a State to
- 134 assist in the Practice of Occupational Therapy.
- 135 R. "Occupational Therapy," "Occupational Therapy Practice," and the "Practice of
- 136 Occupational Therapy" mean the care and services provided by an Occupational Therapist
- 137 or an Occupational Therapy Assistant as set forth in the Member State's statutes and
- 138 regulations.
- 139 S. "Occupational Therapy Compact Commission" or "Commission" means the national
- 140 administrative body whose membership consists of all States that have enacted the
- 141 Compact.
- 142 T. "Occupational Therapy Licensing Board" or "Licensing Board" means the agency of
- 143 a State that is authorized to license and regulate Occupational Therapists and Occupational
- 144 Therapy Assistants.
- 145 U. "Primary State of Residence" means the state (also known as the Home State) in which
- 146 an Occupational Therapist or Occupational Therapy Assistant who is not Active Duty
- 147 Military declares a primary residence for legal purposes as verified by: driver's license,

- 148 federal income tax return, lease, deed, mortgage or voter registration or other verifying 149 documentation as further defined by Commission Rules.
- 150 V. "Remote State" means a Member State other than the Home State, where a Licensee 151 is exercising or seeking to exercise the Compact Privilege.
- 152 W. "Rule" means a regulation promulgated by the Commission that has the force of law.
- 153 X. "State" means any state, commonwealth, district, or territory of the United States of 154 America that regulates the Practice of Occupational Therapy.
- 155 Y. "Single-State License" means an Occupational Therapist or Occupational Therapy156 Assistant license issued by a Member State that authorizes practice only within the issuing157 State and does not include a Compact Privilege in any other Member State.
- 158 Z. "Telehealth" means the application of telecommunication technology to deliver 159 Occupational Therapy services for assessment, intervention and/or consultation.
- 160 SECTION 3. STATE PARTICIPATION IN THE COMPACT
- 161 A. To participate in the Compact, a Member State shall:
- 162 1. License Occupational Therapists and Occupational Therapy Assistants
- 163 2. Participate fully in the Commission's Data System, including but not limited to using 164 the Commission's unique identifier as defined in Rules of the Commission;
- 165 3. Have a mechanism in place for receiving and investigating complaints about166 Licensees;
- 167 4. Notify the Commission, in compliance with the terms of the Compact and Rules, of
 168 any Adverse Action or the availability of Investigative Information regarding a Licensee;
 169 5. Implement or utilize procedures for considering the criminal history records of
 170 applicants for an initial Compact Privilege. These procedures shall include the
- 171 submission of fingerprints or other biometric-based information by applicants for the
- 172 purpose of obtaining an applicant's criminal history record information from the Federal

- 173 Bureau of Investigation and the agency responsible for retaining that State's criminal 174 records;
- 175 a. A Member State shall, within a time frame established by the Commission, require 176 a criminal background check for a Licensee seeking/applying for a Compact Privilege 177 whose Primary State of Residence is that Member State, by receiving the results of the 178 Federal Bureau of Investigation criminal record search, and shall use the results in 179 making licensure decisions.
- 180 b. Communication between a Member State, the Commission and among Member
 181 States regarding the verification of eligibility for licensure through the Compact shall
 182 not include any information received from the Federal Bureau of Investigation relating
 183 to a federal criminal records check performed by a Member State under Public
 184 Law 92-544.
- 185 6. Comply with the Rules of the Commission;
- 186 7. Utilize only a recognized national examination as a requirement for licensure pursuant 187 to the Rules of the Commission; and
- 188 8. Have Continuing Competence/Education requirements as a condition for license 189 renewal.
- 190 B. A Member State shall grant the Compact Privilege to a Licensee holding a valid
 191 unencumbered license in another Member State in accordance with the terms of the
 192 Compact and Rules.
- 193 C. Member States may charge a fee for granting a Compact Privilege.
- 194 D. A Member State shall provide for the State's delegate to attend all Occupational195 Therapy Compact Commission meetings.
- 196 E. Individuals not residing in a Member State shall continue to be able to apply for a 197 Member State's Single-State License as provided under the laws of each Member State.

- 198 However, the Single-State License granted to these individuals shall not be recognized as 199 granting the Compact Privilege in any other Member State.
- 200 F. Nothing in this Compact shall affect the requirements established by a Member State 201 for the issuance of a Single-State License.
- 202 SECTION 4. COMPACT PRIVILEGE
- 203 A. To exercise the Compact Privilege under the terms and provisions of the Compact, the 204 Licensee shall:
- 205 1. Hold a license in the Home State;
- 206 2. Have a valid United States Social Security Number or National Practitioner
- 207 Identification number;
- 208 3. Have no encumbrance on any State license;
- 209 4. Be eligible for a Compact Privilege in any Member State in accordance with
- 210 Section 4D, F, G, and H;
- 211 5. Have paid all fines and completed all requirements resulting from any Adverse Action
- 212 against any license or Compact Privilege, and two years have elapsed from the date of
- 213 such completion;
- 214 6. Notify the Commission that the Licensee is seeking the Compact Privilege within a
- 215 Remote State(s);
- 216 7. Pay any applicable fees, including any State fee, for the Compact Privilege;
- 217 8. Complete a criminal background check in accordance with Section 3A(5);
- 218 a. The Licensee shall be responsible for the payment of any fee associated with the
- 219 completion of a criminal background check.
- 220 9. Meet any Jurisprudence Requirements established by the Remote State(s) in which the
- 221 Licensee is seeking a Compact Privilege; and
- 222 10. Report to the Commission Adverse Action taken by any non-Member State within

- 223 30 days from the date the Adverse Action is taken.
- 224 B. The Compact Privilege is valid until the expiration date of the Home State license. The 225 Licensee must comply with the requirements of Section 4A to maintain the Compact 226 Privilege in the Remote State.
- 227 C. A Licensee providing Occupational Therapy in a Remote State under the Compact 228 Privilege shall function within the laws and regulations of the Remote State.
- 229 D. Occupational Therapy Assistants practicing in a Remote State shall be supervised by230 an Occupational Therapist licensed or holding a Compact Privilege in that Remote State.231 E. A Licensee providing Occupational Therapy in a Remote State is subject to that State's
- 233 laws, remove a Licensee's Compact Privilege in the Remote State for a specific period of 234 time, impose fines, and/or take any other necessary actions to protect the health and safety 235 of its citizens. The Licensee may be ineligible for a Compact Privilege in any State until 236 the specific time for removal has passed and all fines are paid.

232 regulatory authority. A Remote State may, in accordance with due process and that State's

- 237 F. If a Home State license is encumbered, the Licensee shall lose the Compact Privilege 238 in any Remote State until the following occur:
- 239 1. The Home State license is no longer encumbered; and
- 240 2. Two years have elapsed from the date on which the Home State license is no longer 241 encumbered in accordance with Section 4(F)(1).
- 242 G. Once an Encumbered License in the Home State is restored to good standing, the 243 Licensee must meet the requirements of Section 4A to obtain a Compact Privilege in any 244 Remote State.
- 245 H. If a Licensee's Compact Privilege in any Remote State is removed, the individual may246 lose the Compact Privilege in any other Remote State until the following occur:
- 247 1. The specific period of time for which the Compact Privilege was removed has ended;

- 248 2. All fines have been paid and all conditions have been met;
- 249 3. Two years have elapsed from the date of completing requirements for 4(H)(1) and (2); 250 and
- 251 4. The Compact Privileges are reinstated by the Commission, and the compact Data 252 System is updated to reflect reinstatement.
- 253 I. If a Licensee's Compact Privilege in any Remote State is removed due to an erroneous 254 charge, privileges shall be restored through the compact Data System.
- 255 J. Once the requirements of Section 4H have been met, the license must meet the 256 requirements in Section 4A to obtain a Compact Privilege in a Remote State.
- 257 SECTION 5: OBTAINING A NEW HOME STATE LICENSE
- 258 BY VIRTUE OF COMPACT PRIVILEGE
- 259 A. An Occupational Therapist or Occupational Therapy Assistant may hold a Home State 260 license, which allows for Compact Privileges in Member States, in only one Member State 261 at a time.
- 262 B. If an Occupational Therapist or Occupational Therapy Assistant changes Primary State 263 of Residence by moving between two Member States:
- 264 1. The Occupational Therapist or Occupational Therapy Assistant shall file an
 265 application for obtaining a new Home State license by virtue of a Compact Privilege, pay
 266 all applicable fees, and notify the current and new Home State in accordance with
 267 applicable Rules adopted by the Commission.
- 268 2. Upon receipt of an application for obtaining a new Home State license by virtue of 269 compact privilege, the new Home State shall verify that the Occupational Therapist or 270 Occupational Therapy Assistant meets the pertinent criteria outlined in Section 4 via the 271 Data System, without need for primary source verification except for:
- 272 a. An FBI fingerprint based criminal background check if not previously performed or

273 updated pursuant to applicable Rules adopted by the Commission in accordance with 274 Public Law 92-544;

275 b. Other criminal background check as required by the new Home State; and276 c. Submission of any requisite Jurisprudence Requirements of the new Home State.

277 3. The former Home State shall convert the former Home State license into a Compact278 Privilege once the new Home State has activated the new Home State license in279 accordance with applicable Rules adopted by the Commission.

280 4. Notwithstanding any other provision of this Compact, if the Occupational Therapist 281 or Occupational Therapy Assistant cannot meet the criteria in Section 4, the new Home 282 State shall apply its requirements for issuing a new Single-State License.

283 5. The Occupational Therapist or the Occupational Therapy Assistant shall pay all
284 applicable fees to the new Home State in order to be issued a new Home State license.
285 C. If an Occupational Therapist or Occupational Therapy Assistant changes Primary State
286 of Residence by moving from a Member State to a non-Member State, or from a
287 non-Member State to a Member State, the State criteria shall apply for issuance of a

288 Single-State License in the new State.

289 D. Nothing in this compact shall interfere with a Licensee's ability to hold a Single-State
290 License in multiple States; however, for the purposes of this compact, a Licensee shall have
291 only one Home State license.

292 E. Nothing in this Compact shall affect the requirements established by a Member State 293 for the issuance of a Single-State License.

SECTION 6. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

295 A. Active Duty Military personnel, or their spouses, shall designate a Home State where

296 the individual has a current license in good standing. The individual may retain the Home

297 State designation during the period the service member is on active duty. Subsequent to

298 designating a Home State, the individual shall only change their Home State through
299 application for licensure in the new State or through the process described in Section 5.
300 SECTION 7. ADVERSE ACTIONS

301 A. A Home State shall have exclusive power to impose Adverse Action against an 302 Occupational Therapist's or Occupational Therapy Assistant's license issued by the Home 303 State.

304 B. In addition to the other powers conferred by State law, a Remote State shall have the 305 authority, in accordance with existing State due process law, to:

306 1. Take Adverse Action against an Occupational Therapist's or Occupational Therapy 307 Assistant's Compact Privilege within that Member State.

308 2. Issue subpoenas for both hearings and investigations that require the attendance and 309 testimony of witnesses as well as the production of evidence. Subpoenas issued by a 310 Licensing Board in a Member State for the attendance and testimony of witnesses or the 311 production of evidence from another Member State shall be enforced in the latter State 312 by any court of competent jurisdiction, according to the practice and procedure of that 313 court applicable to subpoenas issued in proceedings pending before it. The issuing 314 authority shall pay any witness fees, travel expenses, mileage and other fees required by 315 the service statutes of the State in which the witnesses or evidence are located.
316 C. For purposes of taking Adverse Action, the Home State shall give the same priority and 317 effect to reported conduct received from a Member State as it would if the conduct had 318 occurred within the Home State. In so doing, the Home State shall apply its own State laws

320 D. The Home State shall complete any pending investigations of an Occupational321 Therapist or Occupational Therapy Assistant who changes Primary State of Residence322 during the course of the investigations. The Home State, where the investigations were

319 to determine appropriate action.

323 initiated, shall also have the authority to take appropriate action(s) and shall promptly
324 report the conclusions of the investigations to the OT Compact Commission Data System.
325 The Occupational Therapy Compact Commission Data System administrator shall
326 promptly notify the new Home State of any Adverse Actions.

327 E. A Member State, if otherwise permitted by State law, may recover from the affected 328 Occupational Therapist or Occupational Therapy Assistant the costs of investigations and 329 disposition of cases resulting from any Adverse Action taken against that Occupational 330 Therapist or Occupational Therapy Assistant.

331 F. A Member State may take Adverse Action based on the factual findings of the Remote 332 State, provided that the Member State follows its own procedures for taking the Adverse 333 Action.

334 G. Joint Investigations

335 1. In addition to the authority granted to a Member State by its respective State
336 Occupational Therapy laws and regulations or other applicable State law, any Member
337 State may participate with other Member States in joint investigations of Licensees.
338 2. Member States shall share any investigative, litigation, or compliance materials in
339 furtherance of any joint or individual investigation initiated under the Compact.
340 H. If an Adverse Action is taken by the Home State against an Occupational Therapist's
341 or Occupational Therapy Assistant's license, the Occupational Therapist's or Occupational
342 Therapy Assistant's Compact Privilege in all other Member States shall be deactivated until
343 all encumbrances have been removed from the State license. All Home State disciplinary
344 orders that impose Adverse Action against an Occupational Therapist's or Occupational
345 Therapy Assistant's license shall include a Statement that the Occupational Therapist's or
346 Occupational Therapy Assistant's Compact Privilege is deactivated in all Member States
347 during the pendency of the order.

- 348 I. If a Member State takes Adverse Action, it shall promptly notify the administrator of the 349 Data System. The administrator of the Data System shall promptly notify the Home State 350 of any Adverse Actions by Remote States.
- 351 J. Nothing in this Compact shall override a Member State's decision that participation in 352 an Alternative Program may be used in lieu of Adverse Action.
- 353 SECTION 8. ESTABLISHMENT OF THE
- 354 OCCUPATIONAL THERAPY COMPACT COMMISSION.
- 355 A. The Compact Member States hereby create and establish a joint public agency known 356 as the Occupational Therapy Compact Commission:
- 357 1. The Commission is an instrumentality of the Compact States.
- 358 2. Venue is proper and judicial proceedings by or against the Commission shall be
 359 brought solely and exclusively in a court of competent jurisdiction where the principal
 360 office of the Commission is located. The Commission may waive venue and
 361 jurisdictional defenses to the extent it adopts or consents to participate in alternative
 362 dispute resolution proceedings.
- 363 3. Nothing in this Compact shall be construed to be a waiver of sovereign immunity.
- 364 B. Membership, Voting, and Meetings
- 365 1. Each Member State shall have and be limited to one (1) delegate selected by that 366 Member State's Licensing Board.
- 367 2. The delegate shall be either:
- 368 a. A current member of the Licensing Board, who is an Occupational Therapist,
- 369 Occupational Therapy Assistant, or public member; or
- 370 b. An administrator of the Licensing Board.
- 371 3. Any delegate may be removed or suspended from office as provided by the law of the 372 State from which the delegate is appointed.

- 373 4. The Member State board shall fill any vacancy occurring in the Commission within 374 90 days.
- 375 5. Each delegate shall be entitled to one (1) vote with regard to the promulgation of 376 Rules and creation of bylaws and shall otherwise have an opportunity to participate in the 377 business and affairs of the Commission. A delegate shall vote in person or by such other 378 means as provided in the bylaws. The bylaws may provide for delegates' participation 379 in meetings by telephone or other means of communication.
- 380 6. The Commission shall meet at least once during each calendar year. Additional 381 meetings shall be held as set forth in the bylaws.
- 382 7. The Commission shall establish by Rule a term of office for delegates.
- 383 C. The Commission shall have the following powers and duties:
- 384 1. Establish a Code of Ethics for the Commission;
- 385 2. Establish the fiscal year of the Commission;
- 386 3. Establish bylaws;
- 387 4. Maintain its financial records in accordance with the bylaws;
- 388 5. Meet and take such actions as are consistent with the provisions of this Compact and 389 the bylaws;
- 390 6. Promulgate uniform Rules to facilitate and coordinate implementation and 391 administration of this Compact. The Rules shall have the force and effect of law and 392 shall be binding in all Member States;
- 393 7. Bring and prosecute legal proceedings or actions in the name of the Commission,
 394 provided that the standing of any State Occupational Therapy Licensing Board to sue or
 395 be sued under applicable law shall not be affected;
- 396 8. Purchase and maintain insurance and bonds;
- 397 9. Borrow, accept, or contract for services of personnel, including, but not limited to,

- 398 employees of a Member State;
- 399 10. Hire employees, elect or appoint officers, fix compensation, define duties, grant such
- 400 individuals appropriate authority to carry out the purposes of the Compact, and establish
- 401 the Commission's personnel policies and programs relating to conflicts of interest,
- 402 qualifications of personnel, and other related personnel matters;
- 403 11. Accept any and all appropriate donations and grants of money, equipment, supplies,
- 404 materials and services, and receive, utilize and dispose of the same; provided that at all
- 405 times the Commission shall avoid any appearance of impropriety and/or conflict of
- 406 interest;
- 407 12. Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold,
- 408 improve or use, any property, real, personal or mixed; provided that at all times the
- 409 Commission shall avoid any appearance of impropriety;
- 410 13. Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of
- 411 any property real, personal, or mixed;
- 412 14. Establish a budget and make expenditures;
- 413 15. Borrow money;
- 414 16. Appoint committees, including standing committees composed of members, State
- 415 regulators, State legislators or their representatives, and consumer representatives, and
- 416 such other interested persons as may be designated in this Compact and the bylaws;
- 417 17. Provide and receive information from, and cooperate with, law enforcement
- 418 agencies;
- 419 18. Establish and elect an Executive Committee; and
- 420 19. Perform such other functions as may be necessary or appropriate to achieve the
- 421 purposes of this Compact consistent with the State regulation of Occupational Therapy
- 422 licensure and practice.

- 423 D. The Executive Committee
- 424 The Executive Committee shall have the power to act on behalf of the Commission
- 425 according to the terms of this Compact.
- 426 1. The Executive Committee shall be composed of nine members:
- 427 a. Seven voting members who are elected by the Commission from the current
- 428 membership of the Commission;
- 429 b. One ex-officio, nonvoting member from a recognized national Occupational
- 430 Therapy professional association; and
- 431 c. One ex-officio, nonvoting member from a recognized national Occupational Therapy
- 432 certification organization.
- 433 2. The ex-officio members will be selected by their respective organizations.
- 434 3. The Commission may remove any member of the Executive Committee as provided 435 in bylaws.
- 436 4. The Executive Committee shall meet at least annually.
- 437 5. The Executive Committee shall have the following Duties and responsibilities:
- 438 a. Recommend to the entire Commission changes to the Rules or bylaws, changes to
- 439 this Compact legislation, fees paid by Compact Member States such as annual dues, and
- 440 any Commission Compact fee charged to Licensees for the Compact Privilege;
- 441 b. Ensure Compact administration services are appropriately provided, contractual or
- 442 otherwise;
- 443 c. Prepare and recommend the budget;
- 444 d. Maintain financial records on behalf of the Commission;
- 445 e. Monitor Compact compliance of Member States and provide compliance reports to
- 446 the Commission;
- 447 f. Establish additional committees as necessary; and

- 448 g. Perform other duties as provided in Rules or bylaws.
- 449 E. Meetings of the Commission
- 450 1. All meetings shall be open to the public, and public notice of meetings shall be given
- 451 in the same manner as required under the Rulemaking provisions in Section 10.
- 452 2. The Commission or the Executive Committee or other committees of the Commission
- 453 may convene in a closed, non-public meeting if the Commission or Executive Committee
- 454 or other committees of the Commission must discuss:
- 455 a. Non-compliance of a Member State with its obligations under the Compact;
- 456 b. The employment, compensation, discipline or other matters, practices or procedures
- 457 related to specific employees or other matters related to the Commission's internal
- 458 personnel practices and procedures;
- 459 c. Current, threatened, or reasonably anticipated litigation;
- 460 d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real 461 estate:
- 462 e. Accusing any person of a crime or formally censuring any person;
- 463 f. Disclosure of trade secrets or commercial or financial information that is privileged 464 or confidential;
- 465 g. Disclosure of information of a personal nature where disclosure would constitute a 466 clearly unwarranted invasion of personal privacy;
- 467 h. Disclosure of investigative records compiled for law enforcement purposes;
- 468 i. Disclosure of information related to any investigative reports prepared by or on
- 469 behalf of or for use of the Commission or other committee charged with responsibility
- 470 of investigation or determination of compliance issues pursuant to the Compact; or
- 471 j. Matters specifically exempted from disclosure by federal or Member State statute.
- 472 3. If a meeting, or portion of a meeting, is closed pursuant to this provision, the

- 473 Commission's legal counsel or designee shall certify that the meeting may be closed and 474 shall reference each relevant exempting provision.
- 475 4. The Commission shall keep minutes that fully and clearly describe all matters
 476 discussed in a meeting and shall provide a full and accurate summary of actions taken,
 477 and the reasons therefore, including a description of the views expressed. All documents
 478 considered in connection with an action shall be identified in such minutes. All minutes
 479 and documents of a closed meeting shall remain under seal, subject to release by a
 480 majority vote of the Commission or order of a court of competent jurisdiction.
- 481 F. Financing of the Commission
- 482 1. The Commission shall pay, or provide for the payment of, the reasonable expenses of 483 its establishment, organization, and ongoing activities.
- 484 2. The Commission may accept any and all appropriate revenue sources, donations, and 485 grants of money, equipment, supplies, materials, and services.
- 486 3. The Commission may levy on and collect an annual assessment from each Member
 487 State or impose fees on other parties to cover the cost of the operations and activities of
 488 the Commission and its staff, which must be in a total amount sufficient to cover its
 489 annual budget as approved by the Commission each year for which revenue is not
 490 provided by other sources. The aggregate annual assessment amount shall be allocated
 491 based upon a formula to be determined by the Commission, which shall promulgate a
 492 Rule binding upon all Member States.
- 493 4. The Commission shall not incur obligations of any kind prior to securing the funds
 494 adequate to meet the same; nor shall the Commission pledge the credit of any of the
 495 Member States, except by and with the authority of the Member State.
- 496 5. The Commission shall keep accurate accounts of all receipts and disbursements. The 497 receipts and disbursements of the Commission shall be subject to the audit and

498 accounting procedures established under its bylaws. However, all receipts and
499 disbursements of funds handled by the Commission shall be audited yearly by a certified
500 or licensed public accountant, and the report of the audit shall be included in and become
501 part of the annual report of the Commission.

502 G. Qualified Immunity, Defense, and Indemnification

503 1. The members, officers, executive director, employees and representatives of the
504 Commission shall be immune from suit and liability, either personally or in their official
505 capacity, for any claim for damage to or loss of property or personal injury or other civil
506 liability caused by or arising out of any actual or alleged act, error or omission that
507 occurred, or that the person against whom the claim is made had a reasonable basis for
508 believing occurred within the scope of Commission employment, duties or
509 responsibilities; provided that nothing in this paragraph shall be construed to protect any
510 such person from suit and/or liability for any damage, loss, injury, or liability caused by
511 the intentional or willful or wanton misconduct of that person.

512 2. The Commission shall defend any member, officer, executive director, employee, or 513 representative of the Commission in any civil action seeking to impose liability arising 514 out of any actual or alleged act, error, or omission that occurred within the scope of 515 Commission employment, duties, or responsibilities, or that the person against whom the 516 claim is made had a reasonable basis for believing occurred within the scope of 517 Commission employment, duties, or responsibilities; provided that nothing herein shall 518 be construed to prohibit that person from retaining his or her own counsel; and provided 519 further, that the actual or alleged act, error, or omission did not result from that person's 520 intentional or willful or wanton misconduct.

521 3. The Commission shall indemnify and hold harmless any member, officer, executive 522 director, employee, or representative of the Commission for the amount of any settlement

523 or judgment obtained against that person arising out of any actual or alleged act, error or 524 omission that occurred within the scope of Commission employment, duties, or 525 responsibilities, or that such person had a reasonable basis for believing occurred within 526 the scope of Commission employment, duties, or responsibilities, provided that the actual 527 or alleged act, error, or omission did not result from the intentional or willful or wanton 528 misconduct of that person.

529 SECTION 9. DATA SYSTEM

- 530 A. The Commission shall provide for the development, maintenance, and utilization of a 531 coordinated database and reporting system containing licensure, Adverse Action, and 532 Investigative Information on all licensed individuals in Member States.
- 533 B. A Member State shall submit a uniform data set to the Data System on all individuals
 534 to whom this Compact is applicable (utilizing a unique identifier) as required by the Rules
 535 of the Commission, including:
- 536 1. Identifying information;
- 537 2. Licensure data;
- 538 3. Adverse Actions against a license or Compact Privilege;
- 539 4. Non-confidential information related to Alternative Program participation;
- 540 5. Any denial of application for licensure, and the reason(s) for such denial;
- 541 6. Other information that may facilitate the administration of this Compact, as
- 542 determined by the Rules of the Commission; and
- 543 7. Current Significant Investigative Information.
- 544 C. Current Significant Investigative Information and other Investigative Information 545 pertaining to a Licensee in any Member State will only be available to other Member 546 States.
- 547 D. The Commission shall promptly notify all Member States of any Adverse Action taken

548 against a Licensee or an individual applying for a license. Adverse Action information
549 pertaining to a Licensee in any Member State will be available to any other Member State.
550 E. Member States contributing information to the Data System may designate information
551 that may not be shared with the public without the express permission of the contributing
552 State.

553 F. Any information submitted to the Data System that is subsequently required to be 554 expunged by the laws of the Member State contributing the information shall be removed 555 from the Data System.

556 SECTION 10. RULEMAKING

557 A. The Commission shall exercise its Rulemaking powers pursuant to the criteria set forth 558 in this Section and the Rules adopted thereunder. Rules and amendments shall become 559 binding as of the date specified in each Rule or amendment.

560 B. The Commission shall promulgate reasonable rules in order to effectively and
561 efficiently achieve the purposes of the Compact. Notwithstanding the foregoing, in the
562 event the Commission exercises its rulemaking authority in a manner that is beyond the
563 scope of the purposes of the Compact, or the powers granted hereunder, then such an action
564 by the Commission shall be invalid and have no force and effect.

565 C. If a majority of the legislatures of the Member States rejects a Rule, by enactment of 566 a statute or resolution in the same manner used to adopt the Compact within 4 years of the 567 date of adoption of the Rule, then such Rule shall have no further force and effect in any 568 Member State.

569 D. Rules or amendments to the Rules shall be adopted at a regular or special meeting of 570 the Commission.

571 E. Prior to promulgation and adoption of a final Rule or Rules by the Commission, and at 572 least thirty (30) days in advance of the meeting at which the Rule will be considered and

- 573 voted upon, the Commission shall file a Notice of Proposed Rulemaking:
- 574 1. On the website of the Commission or other publicly accessible platform; and
- 575 2. On the website of each Member State Occupational Therapy Licensing Board or other
- 576 publicly accessible platform or the publication in which each State would otherwise
- 577 publish proposed Rules.
- 578 F. The Notice of Proposed Rulemaking shall include:
- 579 1. The proposed time, date, and location of the meeting in which the Rule will be 580 considered and voted upon;
- 581 2. The text of the proposed Rule or amendment and the reason for the proposed Rule;
- 582 3. A request for comments on the proposed Rule from any interested person; and
- 583 4. The manner in which interested persons may submit notice to the Commission of their 584 intention to attend the public hearing and any written comments.
- 585 G. Prior to adoption of a proposed Rule, the Commission shall allow persons to submit
- 586 written data, facts, opinions, and arguments, which shall be made available to the public.
- $587\ H.$ The Commission shall grant an opportunity for a public hearing before it adopts a Rule
- 588 or amendment if a hearing is requested by:
- 589 1. At least twenty five (25) persons;
- 590 2. A State or federal governmental subdivision or agency; or
- 591 3. An association or organization having at least twenty five (25) members.
- 592 I. If a hearing is held on the proposed Rule or amendment, the Commission shall publish
- 593 the place, time, and date of the scheduled public hearing. If the hearing is held via
- 594 electronic means, the Commission shall publish the mechanism for access to the electronic
- 595 hearing.
- 596 1. All persons wishing to be heard at the hearing shall notify the executive director of the
- 597 Commission or other designated member in writing of their desire to appear and testify

598 at the hearing not less than five (5) business days before the scheduled date of the 599 hearing.

- 600 2. Hearings shall be conducted in a manner providing each person who wishes to 601 comment a fair and reasonable opportunity to comment orally or in writing.
- 602 3. All hearings will be recorded. A copy of the recording will be made available on 603 request.
- 604 4. Nothing in this section shall be construed as requiring a separate hearing on each Rule.
 605 Rules may be grouped for the convenience of the Commission at hearings required by
 606 this section.
- 607 J. Following the scheduled hearing date, or by the close of business on the scheduled 608 hearing date if the hearing was not held, the Commission shall consider all written and oral 609 comments received.
- 610 K. If no written notice of intent to attend the public hearing by interested parties is 611 received, the Commission may proceed with promulgation of the proposed Rule without 612 a public hearing.
- 613 L. The Commission shall, by majority vote of all members, take final action on the614 proposed Rule and shall determine the effective date of the Rule, if any, based on the615 Rulemaking record and the full text of the Rule.
- 616 M. Upon determination that an emergency exists, the Commission may consider and adopt 617 an emergency Rule without prior notice, opportunity for comment, or hearing, provided 618 that the usual Rulemaking procedures provided in the Compact and in this section shall be 619 retroactively applied to the Rule as soon as reasonably possible, in no event later than 620 ninety (90) days after the effective date of the Rule. For the purposes of this provision, an 621 emergency Rule is one that must be adopted immediately in order to:
- 622 1. Meet an imminent threat to public health, safety, or welfare;

- 623 2. Prevent a loss of Commission or Member State funds;
- 624 3. Meet a deadline for the promulgation of an administrative Rule that is established by 625 federal law or Rule; or
- 626 4. Protect public health and safety.
- 627 N. The Commission or an authorized committee of the Commission may direct revisions
 628 to a previously adopted Rule or amendment for purposes of correcting typographical errors,
 629 errors in format, errors in consistency, or grammatical errors. Public notice of any
 630 revisions shall be posted on the website of the Commission. The revision shall be subject
 631 to challenge by any person for a period of thirty (30) days after posting. The revision may
 632 be challenged only on grounds that the revision results in a material change to a Rule. A
 633 challenge shall be made in writing and delivered to the chair of the Commission prior to
 634 the end of the notice period. If no challenge is made, the revision will take effect without
 635 further action. If the revision is challenged, the revision may not take effect without the
 636 approval of the Commission.
- 637 SECTION 11. OVERSIGHT, DISPUTE RESOLUTION,
- 638 AND ENFORCEMENT
- 639 A. Oversight
- 640 1. The executive, legislative, and judicial branches of State government in each Member 641 State shall enforce this Compact and take all actions necessary and appropriate to 642 effectuate the Compact's purposes and intent. The provisions of this Compact and the 643 Rules promulgated hereunder shall have standing as statutory law.
- 644 2. All courts shall take judicial notice of the Compact and the Rules in any judicial or
 645 administrative proceeding in a Member State pertaining to the subject matter of this
 646 Compact which may affect the powers, responsibilities, or actions of the Commission.
 647 3. The Commission shall be entitled to receive service of process in any such proceeding,

648 and shall have standing to intervene in such a proceeding for all purposes. Failure to 649 provide service of process to the Commission shall render a judgment or order void as 650 to the Commission, this Compact, or promulgated Rules.

- 651 B. Default, Technical Assistance, and Termination
- 652 1. If the Commission determines that a Member State has defaulted in the performance 653 of its obligations or responsibilities under this Compact or the promulgated Rules, the 654 Commission shall:
- 655 a. Provide written notice to the defaulting State and other Member States of the nature 656 of the default, the proposed means of curing the default and/or any other action to be 657 taken by the Commission; and
- 658 b. Provide remedial training and specific technical assistance regarding the default.
 659 2. If a State in default fails to cure the default, the defaulting State may be terminated
 660 from the Compact upon an affirmative vote of a majority of the Member States, and all
 661 rights, privileges and benefits conferred by this Compact may be terminated on the
 662 effective date of termination. A cure of the default does not relieve the offending State
 663 of obligations or liabilities incurred during the period of default.
- 664 3. Termination of membership in the Compact shall be imposed only after all other 665 means of securing compliance have been exhausted. Notice of intent to suspend or 666 terminate shall be given by the Commission to the governor, the majority and minority 667 leaders of the defaulting State's legislature, and each of the Member States.
- 668 4. A State that has been terminated is responsible for all assessments, obligations, and 669 liabilities incurred through the effective date of termination, including obligations that 670 extend beyond the effective date of termination.
- 671 5. The Commission shall not bear any costs related to a State that is found to be in 672 default or that has been terminated from the Compact, unless agreed upon in writing

- 673 between the Commission and the defaulting State.
- 674 6. The defaulting State may appeal the action of the Commission by petitioning the U.S. 675 District Court for the District of Columbia or the federal district where the Commission 676 has its principal offices. The prevailing member shall be awarded all costs of such 677 litigation, including reasonable attorney's fees.
- 678 C. Dispute Resolution
- 679 1. Upon request by a Member State, the Commission shall attempt to resolve disputes 680 related to the Compact that arise among Member States and between member and 681 non-Member States.
- 682 2. The Commission shall promulgate a Rule providing for both mediation and binding 683 dispute resolution for disputes as appropriate.
- 684 D. Enforcement
- 685 1. The Commission, in the reasonable exercise of its discretion, shall enforce the 686 provisions and Rules of this Compact.
- 687 2. By majority vote, the Commission may initiate legal action in the United States
 688 District Court for the District of Columbia or the federal district where the Commission
 689 has its principal offices against a Member State in default to enforce compliance with the
 690 provisions of the Compact and its promulgated Rules and bylaws. The relief sought may
 691 include both injunctive relief and damages. In the event judicial enforcement is
 692 necessary, the prevailing member shall be awarded all costs of such litigation, including
 693 reasonable attorney's fees.
- 694 3. The remedies herein shall not be the exclusive remedies of the Commission. The 695 Commission may pursue any other remedies available under federal or State law.
- 696 SECTION 12. DATE OF IMPLEMENTATION OF THE
- 697 INTERSTATE COMMISSION FOR OCCUPATIONAL THERAPY PRACTICE

698 AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

708 State.

699 A. The Compact shall come into effect on the date on which the Compact statute is
700 enacted into law in the tenth Member State. The provisions, which become effective at that
701 time, shall be limited to the powers granted to the Commission relating to assembly and the
702 promulgation of Rules. Thereafter, the Commission shall meet and exercise Rulemaking
703 powers necessary to the implementation and administration of the Compact.
704 B. Any State that joins the Compact subsequent to the Commission's initial adoption of
705 the Rules shall be subject to the Rules as they exist on the date on which the Compact
706 becomes law in that State. Any Rule that has been previously adopted by the Commission

709 C. Any Member State may withdraw from this Compact by enacting a statute repealing 710 the same.

707 shall have the full force and effect of law on the day the Compact becomes law in that

- 711 1. A Member State's withdrawal shall not take effect until six (6) months after enactment 712 of the repealing statute.
- 713 2. Withdrawal shall not affect the continuing requirement of the withdrawing State's
 714 Occupational Therapy Licensing Board to comply with the investigative and Adverse
 715 Action reporting requirements of this act prior to the effective date of withdrawal.
 716 D. Nothing contained in this Compact shall be construed to invalidate or prevent any
 717 Occupational Therapy licensure agreement or other cooperative arrangement between a
 718 Member State and a non-Member State that does not conflict with the provisions of this
 719 Compact.

720 E. This Compact may be amended by the Member States. No amendment to this Compact 721 shall become effective and binding upon any Member State until it is enacted into the laws 722 of all Member States.

723 SECTION 13. CONSTRUCTION AND SEVERABILITY

724 This Compact shall be liberally construed so as to effectuate the purposes thereof. The
725 provisions of this Compact shall be severable and if any phrase, clause, sentence or provision
726 of this Compact is declared to be contrary to the constitution of any Member State or of the
727 United States or the applicability thereof to any government, agency, person, or circumstance
728 is held invalid, the validity of the remainder of this Compact and the applicability thereof to
729 any government, agency, person, or circumstance shall not be affected thereby. If this
730 Compact shall be held contrary to the constitution of any Member State, the Compact shall
731 remain in full force and effect as to the remaining Member States and in full force and effect
732 as to the Member State affected as to all severable matters.

- 733 SECTION 14. BINDING EFFECT OF COMPACT AND OTHER LAWS
- 734 A. A Licensee providing Occupational Therapy in a Remote State under the Compact735 Privilege shall function within the laws and regulations of the Remote State.
- 736 B. Nothing herein prevents the enforcement of any other law of a Member State that is not 737 inconsistent with the Compact.
- 738 C. Any laws in a Member State in conflict with the Compact are superseded to the extent 739 of the conflict.
- 740 D. Any lawful actions of the Commission, including all Rules and bylaws promulgated by 741 the Commission, are binding upon the Member States.
- 742 E. All agreements between the Commission and the Member States are binding in 743 accordance with their terms.
- 744 F. In the event any provision of the Compact exceeds the constitutional limits imposed on 745 the legislature of any Member State, the provision shall be ineffective to the extent of the 746 conflict with the constitutional provision in question in that Member State.'"

747 SECTION 5.

748 All laws and parts of laws in conflict with this Act are repealed						